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Heaven & Earth

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Heaven & Earth
by Nelson Caliguia Jr.

SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE
DEGREE OF
MASTER OF FINE ARTS IN FILM AND ANIMATION

THESIS
IMAGING ARTS/COMPUTER ANIMATION
COLLEGE OF ART AND DESIGN
SCHOOL OF FILM AND ANIMATION
ROCHESTER INSTITUTE OF TECHNOLOGY
ROCHESTER, NEW YORK
August 2018

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ABSTRACT

Heaven & Earth is a graduate animated thesis film with a runtime of four minutes and 58 seconds. This animated short film is a playful take on the eternal struggle between humans and the heavenly forces that dictate weather conditions. Heaven & Earth combines elements from both Philippine folk art and classic American cartoons, exploring a stylistic hybrid borne out of influences that I have genuine affinity for.

This animated short is in full color, and was produced using digital 2D animation. It was entirely animated in TVPaint, while the backgrounds were drawn and painted in Photoshop. For compositing and editing, I used Adobe Premiere and Adobe After Effects. In terms of audio, it has no dialogue – it is mainly driven by music and sound effects. For the sound design and musical score, fellow Filipino Arnel Barbarona was in charge, infusing the music and sound with a distinct folksy style.

This paper outlines the whole process of making this animated short film, from story, pre-production, production, post-production, reception and evaluation.
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I want to give appreciation to my thesis adviser, Peter Murphey, for his unwavering patience and guidance for me. His kind and supportive approach to mentoring has helped me push through with my thesis project despite my own doubts and fears. In addition, I also want to thank my thesis committee members, Brian Larson and Tom Gasek for their wisdom and helpful advice. I also want to recognize the entire SOFA community for making me feel welcome and at home – thereby creating a peaceful space for me to work freely on my projects.

Many thanks also go this list of people that helped me finish my program: Jeff Cox from Rochester Institute of Technology’s International Student Office, Kristen Van Vleck of International Institute of Education, Bro. Dante Amisola of De La Salle – Lipa,
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I would like to thank my family here in the Philippines, especially my father, who was willing to take a sizeable loan to help me finish my studies in the USA. I cannot describe how grateful I am that he is always there to help me move closer towards my dreams.
INTRODUCTION

In this day and age of cultural flux, it has become unrealistic to assume that we can keep our cultural identity isolated and unchanged – and even if we could, it is questionable that we should want to. As an animation artist, it has been my intention to capture things that are uniquely Filipino in my work without having to discard the foreign influences that helped me shape my artistic vision. *Heaven & Earth* has been the result of my desire to strike a balance between these seemingly contradictory forces.

Growing up, I enjoyed local comics and picture books that delved into the world of *aswangs*, *duendes* and *tikbalangs* – frightening and whimsical creatures that populate Philippine lower mythology. As a teenager, I witnessed the meteoric rise of Japanese animation in popular culture, discovering that a lot of beloved works from this genre gained inspiration from national folklore. Among the Japanese animators, my favorite is Hayao Miyazaki, an auteur who frequently uses nature as a theme in his films. Collectively, these experiences and influences have molded my preference for mythological themes and motifs.

Prior to my thesis film, I experienced a frustrating disappointment with a film I made during an animation workshop. I believe this previous film fell short because I was trying to be something that I am not: I was trying to be clever with my dialogue and aiming for self-aware humor. I was also attempting to mimic American-style banter, which ended up sounding tone-deaf instead. I became painfully aware that this was far from being my strength. Additionally, the said project exposed my lack of solid animation skills – particularly my insufficient understanding of timing and spacing. In hindsight, I'd
like to think this was a positive experience, because it inspired me to read more books and study more intently in order to address my weaknesses.

Taking into consideration my leanings, one of the big adjustments I made was to omit dialogue. I have always loved films with no dialogue like Crac! by Frederic Back, Father and Daughter by Michael Dudok de Wit, and Anna and Bella by Borge Ring. The film that I consider to be my most successful project is Mutya, which was also dialogue-free. The absence of narration and dialogue is ideal for pure visual storytelling – the kind of filmmaking that inspires me the most.

I've always had an affinity for a loose, modernist line style. I love the works of cartoonists such as Ronald Searle, Quentin Blake and Sergio Aragones – artists who have delighted people all over the world with their energetic and unique penmanship. My fascination with their line style inspired me to infuse this aesthetic approach into my animation design. Regarding the story, I wanted to continue pursuing my interest in folktales, mythology, and nature. I also want the designs to reflect some influence from folk arts from the Philippines, particularly textile patterns. All in all, I wanted my thesis film to have a sense of cultural identity but, at the same time, be simple and relatable enough that it could become universal.

**PRE-PRODUCTION**

**Story Development**

The initial idea for my thesis was actually a carryover concept from my 30-Second Film class. It began as a simple idea involving a pair of young cloud gods that
are having a contest of some sort. The idea was very basic, but I liked the visual imagery that it was inspiring in my mind. I decided to use this as one of my story pitches during Thesis Preparation Seminar of Spring semester 2017. I was also considering another idea about forest sprites playing stringed musical instruments. As the thesis preparation seminar progressed, I decided to combine elements from these two story concepts.

The new concept that I developed through this hybrid sited the two cloud gods in a musical showdown which featured them controlling the weather through music. It started out with the cloud god as the protagonist, who created rain and thunder with the instrument he played. It was the story of a character trying to find his worth in a world that does not like him and the subsequent weather that he brings. The story's tone was very different at this point – it was a straightforward fairy tale with strong influences from the archetypal *Ugly Duckling* story. There were more characters, plot points, and location changes with this initial iteration. In short, my thesis premise at this time looked more like a half-hour television special rather than a short film.

Right from the start, I was focused on creating a strong contrast between the two main characters. The sun goddess who can control sunny weather had a different personality in the beginning. I thought that it would be appropriate to give her a sunny personality because of her powers and the storm god, being associated with rain, would have gloomy makeup. However, my classmates pointed out to me that these characterizations were making my story feel a lot like Pixar’s *Inside Out*.

Even though my proposal was approved by the thesis committee, I felt an urge to
continue making changes to my story concept. During the summer of 2017, I decided to focus on transforming my thesis story's graphic presentation. I re-watched one of my favorite Yuri Norstein films titled *The Fox and the Hare* and I was reminded of the interesting use of graphic borders in that film. I was inspired by how that helped create a unique storytelling experience. In connection to this, I started to think about the presentation of the world in my film and considered using Filipino folk textiles as inspiration. I began to see many story possibilities contained in movement that went back and forth between graphic borders.

During this time, I was also watching Looney Tunes shorts directed by Chuck Jones. I have always admired Chuck Jones' impeccable humor and timing. His flair for both exaggeration and clever use of limited animation has long been a source of inspiration for me. I was also an admirer of the layout artist Maurice Noble – a longtime collaborator of Jones – whose decidedly graphic approach seemed like a perfect fit for what I was intending to do with the backgrounds.

With my mind primed by these influences, I approached the fall semester of 2017 with the intent of making significant changes to my story. I further developed the story from this point on through thumbnails, storyboards and animatic reels.

**Storyboard and Animatic**

The first semester of my thesis year was mainly focused on the challenges that confronted me during the storyboard and animatic phase. This was a psychic challenge
for me because I was intimidated with the task of telling a story through film, knowing that I did a really poor job the last time. I frequently questioned myself during this period. I was also pre-occupied with the challenge of figuring out how to finance my final year without the Fulbright scholarship. Part of my solution was to take in as much teaching assistant work as I could. Because of this, the initial weeks of thesis pre-production were slow.

At one point, I even toyed with the idea of creating a story about a couple struggling to conceive a child and use this as a subplot to put side by side with the story of the two gods. My thesis adviser, Peter Murphey, advised me to avoid this kind of thinking because it is easy to think of new ideas, but it is hard to commit to an idea until completion. In hindsight, I'm glad that I followed his advice because I was able to avoid too many complications in the story line.

I decided to push through with my initial story but, at the same time, deliberately simplified it and focused on its essence. I began to approach the storyboarding process with a more uninhibited method that I used in some of my earlier films—quickly scribbling thumbnails in an almost “stream-of-consciousness” fashion. I used this approach in my attempt to focus on the overall gist of the story and to avoid getting bogged down by specific details. At this point, the drawings were extremely rough – I think I was the only one who really understood them. I think of this method as similar to straight-ahead animation, wherein you organically move through your ideas, focusing exclusively on the flow and momentum.
Despite the crudeness of these early thumbnails, a lot of the visual ideas here were used in the film.

Even during these early attempts, I was already consciously thinking about visual language, especially on how these principles were reflected on the graphic borders. I also wanted to show the conflict as simple as possible through the partitioning of the frame between the two gods. As Nancy Beiman noted in her book, *Prepare to Board!*, “Good characters make... simple conflicts interesting” (52). I knew that the conflict was very simple, so I needed to give flavor to the characters through contrasting personalities.

Through these rough thumbnails, I was already indicating the camera’s movements, even though the drawings were very rough. I wanted to show the relationship between the gods and humans in the clearest way possible. Thinking of the many location shifts that were included in my original proposal, I was trying to find a visually efficient way to address this complication. The simplest solution that I could
think of was to place the frame of the gods on top of the frame of the humans. I think it is fair to say that this layout of the two main frames together became the anchor of the entire film. In the book, *Exploring Storyboarding*, there is a passage about the subliminal effect of placement in composition:

Framing objects at the top of the frame often carries more psychological weight than if a character is composed at the bottom of the screen; that is because the top carries more power and influence than the bottom (Tumminello 89).

This visual relationship between the humans and the gods is the crux of the story, hence the title, *Heaven & Earth*. As a visual storyteller, it is one of my duties to figure out a way to picture these elements in the most economical way possible.

Another crucial area that needed to be figured out was the stylistic interpretation of space. Initially, I was only concerned about using graphic borders and a straight-on camera angle. Along the way, I had trouble figuring out the flow of the story in this setting – some of the people who were reviewing my animatic were having trouble following the story. The location changes in the story were particularly difficult to portray because I needed to make the camera movements consistent.

The first version of the ending featured the two gods unexpectedly beautifying the borders of the frames after their big musical showdown. Ironically, the villagers that they are trying to impress have already left the location, fearing for their lives. The two gods then started to patch their relationship up and work together to create beautiful music. I showed this version to the people around me in the grad lab and received helpful
critique, particularly regarding the ending. A lot of them thought that the two gods should not patch things up that easily after a big fight. Most people also did not like the idea that they accidentally made their surroundings beautiful. They thought these solutions were too sudden and convenient.

Looking back into my earliest version of the animatic, I realize that the pacing dragged and did not match the story's simple concept. In hindsight, it would have been more effective to have explored many other dramatically different scenarios, instead of immediately locking in on and developing this first rendition. This approach would have given me more ideas to choose from and would have been more efficient than constantly fixing and revising my first idea.

Using the upcoming animatic night at SOFA (School of Film and Animation) as a deadline, I started working on tying down my storyboard sketches. I was already exporting the files into Adobe Premiere at this point, assembling my rough storyboards together and adding sample music. Knowing that my animatic was clearer after making my drawings tighter, I hoped to get positive feedback. However, I started to feel demoralized because people still did not understand the new animatic. I took note of these new comments and took all under consideration. However, I started to remember moments during my previous film production where I became so bogged down by other people's opinions that I couldn't hear my own thoughts anymore. Due to my fear of getting completely demoralized on animatic night, I decided to hold back and just figure things out on my own.

In exchange for not attending animatic night, I set up a meeting with my thesis
committee to get their thoughts about the matter. I got some interesting comments from Brian Larson and Tom Gasek with regard to story and design. The suggestion of keeping the sense of space as consistent as possible kept popping up. When the storm god was thrown out of the frame, it was suggested that he should burst through the borders and be relegated to a new frame in order to make this scene feel cohesive. I also got the idea of depicting a specific “container” for the story, so I needed to be more specific on how I portrayed this imagery. Brian suggested using a quilt so that each frame had its own separate location that the characters could move in and out of. Tom Gasek suggested that I insert some close-ups of the villagers in order to show their reactions to the weather up close. He also made comments about the performances, particularly the gods' reactions to the villagers – acknowledging their presence. During this time, I was in the middle of creating a new ending wherein the two gods had a longer time to reconcile. There was even the idea of a little girl being left behind to witness the transformation of the borders because of the gods' collaboration. The ending was not clear yet at this point – there were even comments that the borders were hoarding the spotlight when I placed excessive emphasis on its transformation.

Concept art suggesting my initial idea about the transformation of the borders.
After this critique session, I was still trying to process all the new information, but unfortunately, time was already ticking. I haven’t even figured out how to approach the animation production at this point. I decided to abandon storyboarding for a while and try my luck with animating.

During the winter break of 2017, I went back to my storyboards and gave myself an ultimatum on figuring out the ending once and for all. Not finishing on May 1st and being forced to extend was not an option for me.

I took inspiration from the ending of the original Duck Dodgers short, in which Dodgers and Marvin the Martian destroy the planet X. In the end, Dodgers was standing on top of what little has remained of the planet – claiming an empty victory. I was inspired by this humorous ending, concluding that maybe having a happy ending for my thesis was not necessary. Instead, I made the gods’ conflict escalate and the borders crash on them as a result. In the meantime, the escaping villagers move into a new frame which they assume to be paradise, only to discover that they will have to contend with a new set of gods.

As I made these changes, I created a monitoring sheet for the shots. For the very first time, I had a grasp on how many shots I needed to finish. To be honest, at this point, I did not even have a completely finalized scene, which meant I had a deadline rut that I needed to dig myself out of. So, I accepted my fate that from this point onward, it was about crunching the numbers and completing shots one by one. I intuitively knew that if I was constantly second guessing myself, I would never finish this film.
Style Development

While I was figuring out the storyline of my thesis during Thesis Preparation Seminar, I was pursuing an independent study with a painting professor of mine, Emily Glass. In this class, I used traditional oil painting to create concept art pieces for my thesis.

I was focusing on making inspirational images – whether or not I would be able to directly use these images was unimportant. Aside from developing concept art for my thesis project, I was also training myself in using traditional media in my design process. These paintings were my initial foray into visualizing my thesis.

During the summer of 2017, I realized that I needed to simplify my designs even further because using my oil paintings directly as sources of inspiration would be impractical. I was also very fascinated with Ronald Searle's art at this time and was thinking of adapting some of his brilliant linework into my animation in order to achieve a certain kind of energy. The visual style of this film jumped pretty quickly from painterly and classical to modern and highly graphic. This was another decision, partly motivated by practical reasons, which turned out to have very positive aesthetic results.
Right from the beginning, I already wanted to use a stylized interpretation of space. In contrast to the naturalistic depth that I was trying to achieve in my oil paintings, I wanted to use a graphically flat approach – a style that is almost hieroglyphic. I was starting to do research about the textile patterns from the Philippines that I could incorporate into my designs. Since I was already thinking of the border designs early on, I was starting to become keenly aware of the picture plane and its constraints. In her book *Exploring Storyboarding (Design Concepts)*, Wendy Tumminello, an Instructor at the Art Institute of Washington, has written a seemingly straightforward textbook that I have discovered to be surprisingly insightful. I frequently referenced this book during production in my attempt to continue learning about the basics of visual storytelling. Tumminello reminds us to use the border as a visual cue that affects the overall story and design:
Unlike a typical frame, the border of the film frame is part of the shot. Artists create not only the illusion of movement within the frame by the placement of characters and objects, but also meaning (88).

Since I was planning to tone down the use of detailed and naturalistic gradations, I decided to prominently feature the line as a design element. This approach is similar to the Cartoon Modern style, a highly graphic style of animation that embraces modern art influences wherein lines are typically featured prominently. This style gained prominence in the United States during the 1950s. In Exploring Storyboarding, Tumminello eloquently described the role of the line in design and storytelling:

The most basic element of design is the line; it can be horizontal, vertical, curved, bold, implied, or diagonal. Lines can be roads, fences, body motion, or an endless list of objects. They can lead the eye, evoke movement, or divide a space into separate units (79).

I had to be deliberate on how I was using this design element in the characters and background. I eventually concluded that I would use the line to unify the visual elements.

**Character Design**

Character designing, my favorite aspect of animation, has been described as the
equivalent to casting in live action filmmaking, but I think it is much more than that. Since animation is a highly visual medium that is predominantly character-driven (there are exceptions, of course), I think it is really the equivalent of giving birth to a character – particularly in a medium wherein it is emphasized to “show, not tell.”

With character designing, there is a necessity to explore many iterations, searching for the best possible representation of the character you have written. In Prepare to Board! by Nancy Beiman, it is mentioned that settling too soon is a bad habit that must be corrected:

The most common mistake made by beginning designers is to assume that their first character design is also the final one. In some instances, the artist goes directly to cleanup without investigating the character’s construction or the actions it must perform in the film (Beiman 219).

I have often been guilty of this error and I know that I still need to push myself further with my design development. On top of my growing awareness for silhouette design, which is about having a strong shape design that could stand on its own regardless of the details, I also found a need to strengthen my awareness for character construction.

The process of designing the characters started during my oil painting independent study since I was primarily portraying characters in my paintings. During this phase, both of the main characters were primarily composed of cool colors because I was assuming that they were composed of clouds. I also started differentiating them
through their proportions and silhouettes. Even though the silhouette technique is highly useful in designing, a potential drawback is that you become so infatuated with the still silhouettes that you forget that these designs need to exist within the context of movement. It is important to remember that these silhouettes should be capable of moving organically, have a sense of elasticity, and convincingly shift weight.

As I began to consider that these characters were not going to be made of clouds anymore, the design of the sun goddess drastically changed. In order to make her better fit her role in the story, I added some sharp corners, endowing her with the shape of an axe – signifying she is capable of violence. At the same time, I still made her feminine enough to strike a contrast with the male storm god. I also made the sun goddess taller than the storm god, to symbolize her dominance.
The villagers started off with standard humanoid proportions but, as I continued working on my animatic, I shifted to a more simplified, UPA-inspired design for them.
Beiman commented on this process when she wrote in *Prepare to Board!* that, “Character designs are developed concurrently with the storyboards...” (218). I find this statement comforting, as I thought I've always approached it wrong by dealing with design and storytelling issues simultaneously.

In my pursuit to make my characters as appealing as possible, I was trying to find techniques that would strengthen the contrasts in the designs. Stephen Silver has been a source of inspiration for me in this department. In his book *The Silver Way: Techniques, Tips, and Tutorials for Effective Character Design*, he advises designers to “…play with your contrasting elements: large against small, wide against narrow, straight against curve...” (151). For the characters, I primarily thought of creating contrast through width, alternating between wide and narrow. I also made sure to create contrast through color, assigning warm colors to the sun goddess and assigning cool colors to the storm god.

I began the storyboarding process with the character designs not yet finalized. The designs were continuously evolving, and I was still making small adjustments even during production. Beiman made a commentary about this common production occurrence:

Hand-drawn animation may continue “evolving” the characters well after the animation has started. It's not uncommon for hand-drawn characters' appearance to change between the beginning of the picture and the end... (218).
Also, since the facial details needed to be readable at Full Shot (the most used shot type in my thesis), I needed to make the eyes bigger when I was already animating so that it would be clear even from a certain distance. In addition, there were some clothing patterns that were easy to do in a few drawings, but became very difficult to do when animating on ones. As a designer, I learned how critical it was to anticipate the realities of a production as part of the design process.

**Background Design**

The background design process began very early, when I started to scribble and indicate background details on my rough storyboards. In the beginning, I did not even know how to layout the main frames together, as it was not yet clear to me how to properly juxtapose them. I had drawn the preliminary version of the graphic borders using TVPaint but, at this time, I was more concerned with resolving storytelling issues with my animatic.

Looking back, I think I underestimated the challenge of dealing with an expansive world and the planning that this undertaking requires. There was confusion during the storyboarding phase about whether to use graphic borders independently without context, or to use a bigger “container” to put everything in like a mural, quilt, or a piece of ethnic clothing. In my initial attempts at border designing, the issue of arbitrary variation became apparent. During the thesis committee meeting of the Fall semester of 2017, the committee members had commented that the backgrounds do not provide proper context because these early versions had clear white backgrounds.
In response, I analyzed samples of Philippine textiles more closely and took notes regarding the iconography and patterns. Observing the border designs of the actual textiles, I noticed that there is a sense of order and consistency that were missing from my initial attempts. My solution was to plan the entire layout by assigning all the shots within one big tapestry and making the border designs consistent across the board. I also color-coded the backgrounds, to make them easier to differentiate from each other. The area of the sun goddess was mostly light, the space around the cloud god was predominantly made of dark colors, and the villagers section was largely earth tones.
In my earlier version, the border that partitioned the two separate areas of the gods was too distracting. To resolve this problem, I designed a much simpler middle border to avoid attracting too much attention, but made it react accordingly whenever the gods were playing their music. I desaturated the colors of the background designs in order to make the brighter colors of the characters pop-out.

It is interesting to note that for the longest time, the gods did not have a platform to stand on – they were just floating mid-air throughout the majority of thesis production. These elements in the background were only added within the last three weeks of production. This important adjustment was actually my thesis adviser, Peter Murphey's idea.

Another important decision that I made at this point in the process was to use a...
“curly line” in both characters and backgrounds in order to unify their visual aesthetic. This line style is an element that I observed and adapted from Ronald Searle’s pen drawings. Prepare to Board! discusses the importance of marrying these two parts of the world that you are designing:

A storyboard artist does not need to take final character design into consideration...But the character designer must be sure that the animated actors work with each other and with the backgrounds in a consistent style so that they are all in the same universe (Beiman 220).

Keeping this in mind, I made a conscious effort to make the line style consistent in order to unify the characters and the backgrounds. The film’s backgrounds ended up with more detail and variation than I expected, which is why I’m glad I figured out a way to pull everything together.
PRODUCTION

Animation

Looking back into this project, I realize that the most important personal milestone for me within it was the animation. I don’t think I have ever spent so much energy animating on a project before. Remembering the countless hours that I applied to this effort, I have frequently reflected on whether I could have been more efficient with my approach. Nevertheless, I am happy that I confronted the challenge in its entirety,
and I am now more confident as an animator moving forward.

Since my thesis year no longer required me to enroll on various courses, I had more time to animate for this project. This afforded me the opportunity to work slowly in the beginning -- striving to improve the flow and timing of my work. The fact that I faced the tediousness of frame-by-frame 2D animation allowed me to deepen my understanding of the principles of animation. I fulfilled my personal commitment to animate more and, if anything else, I consider that a modicum of success that I can hold on to.

During the entire animation process, the book that I mainly referenced was Eric Goldberg's, *Character Animation Crash Course!* As I read through this book, the old adage “You don't know what you don't know” kept echoing in my mind. I have frequently skimmed through this book before, but it is a different experience to actively apply the ideas contained in it as you read them. This book has been a source of illumination for me, especially in the animation style that I was aiming for. As I gained more and more appreciation for the lessons in the book, the more I feel regretful that I did not take it seriously sooner. Some of my most important learnings from this book includes: techniques on how to improve poses and expressions, incorporating a sense of plasticity to the forms, the importance of changing shape in the middle of an action, and animating on ones if necessary to achieve texture in the timing.

Through study and practice, I gained a better understanding of timing charts – a topic I've always wanted to learn more about. Prior to thesis production, I only understood this subject matter superficially. The proverbial examples of easing-in and
easing-out were the only aspects I knew something about. As I went along, I learned about the other types of timing charts and their usages: the role of even spacing, the definition of “thirds”, indicating “favors” in a chart, mixing-up techniques, and even having multiple charts working simultaneously. I used timing charts inconsistently and inaccurately as I worked, stumbling along these concepts as I animated. I don’t profess to now be an expert on this subject – far from it – but being a little less ignorant is still a step forward. The mere fact that I was using charts more often instead of just constantly improvising has changed my work habits.

One of the important things that I learned is improving my initial animation workflow. Due to the demands of my timeframe, I studied how to employ “partials” – an animation term used to describe incomplete, shorthand sketches. Using partials enabled me to initially focus on the overall flow and energy of my animation instead of the specific details. This animation technique of rapidly creating a general impression through a series of shorthand sketches is sometimes called a “scribble pass”. I found this method useful because I was spending as little energy as possible to each individual sketch (which I knew would be changed and revised anyway), giving me more time to improve the overall timing. Goldberg has expounded upon this working method when he encouraged animators to work from general to specific:

Work roughly, with attention to basic construction but not final detail when keying out a scene. Animate in a straight-ahead fashion from beginning to end of the scene even though you’re posing – this way you’ll find various peaks and resolutions that come “organically” as you’re drawing (56).
Shorthand versions of my characters using simple visual analogies.

Looking back, I remember just how often I went back and forth between pose-to-pose animation and straight-ahead. When starting a shot, I usually drew a couple of storytelling poses first then I almost immediately switch to working straight-ahead. This spontaneous method has helped my animation since my work can sometimes be stiff and rigid. In Goldberg’s book, it is noted that, “Practically any movement will benefit from a little anticipation, drag, and overlap...” (Goldberg 57). I have taken this to heart and tried to apply these concepts even in the small movements.

Despite the diverse creative tasks involved in making an animated film, I still view myself mainly as a draftsman who specializes in cartoons. Drawing is still my main skill. However, there were moments during the thesis production when I seriously questioned my abilities. In all honesty, that doubt still lingers until now. Handled maturely, I believe this can be a positive experience because doubt creates questions, and questions encourages you to seek out answers. Doubt only means that my knowledge and awareness are increasing and therefore, I am becoming more conscious of my specific shortcomings.
In relation to this, one of the areas I want to improve on is my sketching habits. I have observed that I have a tendency to “think on paper” when I'm drawing – doodling and scribbling even though I don’t have a clear goal yet. This is a bad habit I developed partly because of the unlimited editing power of the software that I use. You can always “undo” a command and start fresh without cost. It is extremely efficient to use a computer but I realized it can limit my potential if I treat it as a crutch.

In order to address this issue, I started reading Force: The Key to Capturing Life Through Drawing by Michael Mattesi. One of the topics that stood out to me is when Mattesi advised artists to draw “One line per energy or idea” (3). This is a simple drawing tip that promotes discipline and clarity of thinking. In contrast, Matessi also describes the danger of mindless sketching when he said that “Uncertainty takes us from one place to another through thousands of minuscule thoughts…” (3). In order to avoid the unsightly build-up of “mindless” lines, I should always remember to be intentional when drawing. To explain even further, Matessi mentioned that a line “…starts somewhere, does something, and goes somewhere” (3). This kind of mindfulness is what I aim to develop as a draftsman moving forward.

One of the notable areas that I improved on is adding a sense of looseness in my animation drawings. To achieve this, the first step for me was letting go of the notion that being on-model on every single drawing was a top priority. For the longest time, I was placing consistent-looking drawings on a pedestal. Little did I know that I was getting overly fixated on surface execution when I should have been putting more work on the foundation first— the portrayal of energy and life.

An important tip that I got from Character Animation Crash Course! is that
"Everything you draw or conceive should have a sense of 'give' to it – that all of a character's body parts affect each other...all have an inherent elasticity" (Goldberg 55). This concept encouraged me to push my drawings and make the forms as pliable as I could make them. A related advice from Goldberg is to "Go for the feeling first, anatomy second!!" (54). Collectively, these ideas have inspired me to add vitality and energy to my sketches.

Since my thesis did not have any dialogue, I had to tell the story as clearly as possible through the visuals. I had to make sure my film communicated mainly through the designs, compositions and, most importantly, the gestures of the characters. I knew that the principles of simplicity and exaggeration should be prioritized when creating poses. Using speed to cut into the essence, I aimed to emphasize emotion and clarity. Edward Sorel, a master caricaturist and illustrator, described gesture drawing perfectly when he quipped that “a love of gesture is what makes sane people become illustrators” (qtd. in Parks 60). I can definitely relate with this idea, as I have discovered that
pursuing gesture drawing can be an exciting challenge.

Another notable lesson that I learned from Eric Goldberg is the sculptural application of details. The idea behind this concept is the organic placement of details in order to convincingly create the illusion of three-dimensional mass. In *Character Animation Crash Course!*, animators are advised to, “know your character's construction thoroughly...show different angles, head tilts, shifts of weight and posture” (Goldberg 32). Following this advice, I developed the habit of sketching even the obscured parts of a drawing to gain a better sense of its volume.

I also experimented with distortions and “eccentric drawings”, especially on fast actions. Once again, I used Goldberg as a guide to learn about smears, double images, swish lines and other gimmicks that add flavor to the animation. However, Eric also warns that “...the use of animation gimmicks is like the use of oregano in cooking – a little adds zest and spice; too much overpowers!” (182).

**Compositing**

As I’ve discussed earlier, it took me quite a while to figure out the overall context for the story's location. In turn, this also delayed the compositing phase. For many months, I was just using placeholders for the borders and backgrounds. The process of assembling the shot assets within the final background designs did not start until the very last month of production. I was half-improvising at this point, creating the animations for the middle border simultaneously as I were assembling the finalized assets in After Effects.
I initially had more plans for the compositing phase, particularly on how the borders would be animated. I experimented with Adobe Illustrator for a while, and briefly delved with a workflow wherein I tried to animate vector graphics through After Effects. Due to the highly graphic style of the border designs, I initially thought that working with vectors would be more effective. While this idea sounded good initially, I failed to take into consideration the learning curve I will experience as I deal with new software. Considering the complexity of my initial plans for vector animation, I decided that it would be more practical to abandon this approach.

A comparison of the background layout during the animatic stage (top) and the final version (bottom).

The improved alignment of the borders and frames provided clarity to the compositing stage.
As I finalized my workflow, I pre-composed the individual shots before placing them all together in the large layout that I made in Photoshop. I used this workflow to avoid dealing with too many layers while applying camera movements. I did some frame-by-frame masking with the backgrounds, moving back and forth depending on the movement of the middle partition. It is also interesting to note that the borders also needed different versions, particularly when various parts of it gets broken.

**Audio and Music**

Right from the beginning – despite the various changes that the story concept went through – I knew that music would be an important part of the film. Since the two main characters play musical instruments and the film itself is dialogue-less, the music and the visuals must work together seamlessly.

I initially planned to have a greater range of collaboration with my musician, Arnel Barbarona. However, my plan did not go smoothly because I became engrossed with figuring out the parts that needed my attention like story, design and animation. Months went by and our communication went cold.

In the middle of the production, I sent an updated animatic file to Arnel hoping to get some feedback about timing and rhythm. Unfortunately, Arnel responded only intermittently to my messages at this point. Aside from composing folk music, Arnel is also a successful live-action filmmaker who frequently gets invited into film festivals. I think his busyness may have affected his responsiveness to my messages.
Thankfully, during the final month of production, Arnel started to seriously respond to my messages. At this point, I had finalized the timing for most of the film, so Arnel ended up creating audio work for a pre-timed film. This was in contrast to my original intention of having his music influence the film's rhythm early on. Nevertheless, I am still happy with how the music turned out because it has the unique folksy sound I was looking for. I also commend him because he was able to submit the deliverables when it mattered the most.

An additional challenge to our collaboration was that the time zones of New York State and the Philippines, where Arnel was located, are in reverse. This meant that after a long day of animating, I would communicate with him late at night to provide my feedback. It is amusing to look back on how much I had to rush in the final weeks of production, particularly on cleaning-up, compositing, editing, and audio.

I also experienced some issues with how the vocalizations were handled initially. Since the film required some minor voice acting, I requested Arnel to supply them. One of the early previews that he submitted featured excessive vocalizations (in my opinion). I asked him if he could remove eighty percent of it and just retain the most important ones. What I got after that was a version that removed almost all vocalizations. It went from too much to too little. Fortunately, he gave me the individual tracks of the audio separately, so I calibrated it myself while editing the final version.

RECEPTION AND EVALUATION

Critiques and Recognition

During the SOFA screenings, I received mixed reactions for my film. Despite
being able to push myself in terms of character animation, I still received a critique regarding the animation cycles that were evident in my film. This limited animation technique was particularly obvious in the animation of the villagers, wherein I used a lot of loops because I did not want to be bogged down by animating complex movement for a group of characters. I admit that this approach is one of the compromises that I embraced in order for me to hit the deadline.

Considering the individual effort that I’ve given to this short film, I expected more appreciation at the SOFA screenings. To be honest, I was a bit disappointed that I only got lukewarm reception from the audience. I realize now that I was asking too much and that I shouldn’t be too quick to ask for gratification. There is a necessity to develop a healthy attitude and accept each project as an opportunity to learn and develop. Over the years, I learned that there is a difference between public and private success. 

*Heaven & Earth* is a project that I consider to be in the latter category -- knowing that I gave it my personal best despite the circumstances.

On the other hand, I received praise for the comedic timing of the villagers when they were shown frantically escaping from the gods. I also received praise for my stylized backgrounds. My animation for the climactic fight scene of the two gods was also positively mentioned. RIT SOFA faculty recognized the short when it became part of the Honor Show for 2018. *Heaven & Earth* also achieved its first festival selection when it was accepted by the Paris International Animation Film Festival this July of 2018.
Reflection on Personal Learnings

When I was handling my own independent projects in the Philippines, I had steady production support because I frequently collaborated with freelance animators. Funded by grants from the government, I worked primarily as a director and manager. The big advantage here is that it gave me a chance to focus on pre-production and management, giving me the leverage to deal with films projects with longer durations and more complex storylines. Looking back to those opportunities, I realize just how fortunate I was to be placed in that situation.

In contrast, when I was working on my thesis film, I gained a different kind of satisfaction by owning up completely to the animation workload on my own. With my past projects, I frequently dealt with a flexible time-frame – a situation that had its benefits but could also make me lax and complacent. Comparing that experience to my thesis production, I am reminded of the benefits of having hard deadlines that force you to be single-minded and focused. Out of necessity, I learned time management techniques during thesis production using digital tools like Google Calendar and tomato timer (tomato-timer.com). I used these tools in tandem so that I can use the Pomodoro Technique for time management.

While working on my thesis, I also wanted to inform my practice with as much theory as I can. One of the things that I learned is to take advantage of the "time pockets" scattered throughout the day. One way I dealt with time proactively was reading animation books during my bus rides. A single bus ride to RIT from my place was usually twenty-five to thirty minutes long. It was enough time to read a chapter or
two and take a few notes. I usually wrote the most important tips on sticky notes which I placed on the sides of the Cintiq screen that I frequently worked on. In *15 Secrets Successful People Know About Time Management*, Kevin Kruse made a statement about the value of every single moment:


Continuing in this line of thought, I also learned how to plan my week because I observed that not all my work days will be filled with energy. Despite having enough sleep, my body's energy naturally dips as the week winds down. In order to deal with this, I placed the most important tasks in front of the week when my energy is at its highest. I then dealt with less important tasks on the tail end of the week. As Kruse points out, "Highly successful people theme days on their calendar..." (160). Keeping this in mind, I also gave myself a "buffer day" each week to get some extra sleep and rest. This buffer day is important because it prepares my mind and body for the high-energy days.

Knowing that I am already behind schedule, I needed to constructively compromise and make hard decisions regarding what can and can't be done. This is one of the areas that my thesis adviser, Peter Murphey, helped me a lot in figuring out. He has provided me plenty of advice on how I could deal with the workload and prepare for the May 1st deadline imposed by RIT’s School of Film and Animation.

After working on my thesis, I realize just how important muscle memory is for 2D
animators. The process requires that we develop good drawing habits because we are constantly making decisions – big and small. These drawing decisions may seem insignificant at first but have a big effect in the long run. For example, I have a habit of scribbling and “feeling out” a pose when animating – a habit that seems harmless if done in just one drawing but wastes a lot of time and effort if multiplied throughout an entire production. This is why the concept of mindful and intentional drawing is a practice that I need to adapt. As a resolution, I am regularly working again on my sketchbook so that I can retrain myself in drawing. My goal is to develop good drawing habits or, at the very least, minimize bad drawing habits that waste effort and time.

**Strengths and Weaknesses of the Project**

I am glad that I was able to push myself as an animator through this project. On top of animating, I was able to own up to the challenge of doing almost all of the clean-up and inbetweening on my own. I initially wanted to delegate more clean-up and inbetweening work but after assigning two shots to students, I knew that it will not work out. My time would just end up being wasted on correcting and supervising others. However, I think this situation was a blessing in disguise – by animating more, I gained a new perspective about drawing characters in a state of motion. The concepts of plasticity, momentum, and drag became ingrained into my memory through daily practice.

Aside from the animation, I think one the strengths of the project is the overall
visual presentation. I've always wanted to create a film that deals with a world inspired by Philippine textile designs. The fact that I experimented with this idea, albeit imperfectly, is a good source of insight for me as an artist.

A lot of the challenges I faced were related to scaling-down my concept so that I can realistically hit the deadline. The fact that I was frequently behind schedule made me focus almost exclusively on compromising just for the sake of not failing. Due to certain realities surrounding the production of my thesis, I concentrated on completing and not perfecting. I don't think there is anything inherently wrong with this, I just wished that I did a better job at balancing the artistic values with production realities. Hopefully through this experience, I will be smarter with my compromises in the future. Right from the beginning, the aim should be excellence through simplicity.

CONCLUSION

Among the lessons learned in creating Heaven & Earth, I see the value in making more detailed model sheets and design guides, particularly on how the characters looked like in mid-action. I also believe that the opening shot of the film may still be improved by using a 3D camera and some 3D assets to add depth to the introduction of the tapestry, to make it more dynamic. These are elements I wish to include in my future projects.

Finally, I would like to reflect on my intention to combine Filipino folk art influences with the aesthetic of classic American cartoons. Despite the flaws of my film,
I was able to strike a good balance between these two main sources of inspiration. I believe the reason why it worked was that the influences were segregated between the characters and the backgrounds: the characters were more based on American cartoon styles while the background art reflected the influence of Philippine folk textiles. The marriage of these two visual elements succeeded because I was not being a strict purist regarding my references, but I still aimed to retain the essence of my influences.

Though seemingly contradictory, the uniquely Filipino elements worked with the foreign influences that embody my artistic vision. In the end, I used my gut feeling as guide in making artistic decisions, and I aim to include more Filipino-ness in future works, as it is an integral part of me, a fiber of my being.

I have a lingering suspicion that some of my classmates and professors during graduate school found it peculiar that I was placing so much importance on Filipino cultural identity. This notion might be lost on some, especially among people from countries that already have well-defined cultures. I believe they do not really know how it feels to be an artist belonging to a country that has an ambiguous identity. This concept overlaps with the fact that the Philippines is a “developing nation” -- a term that has connotations pertaining to both economic and cultural aspects. After all, poverty manifests itself in many ways -- cultural currency included. As a Filipino artist, I feel compelled to do my part to counteract this negative perception.

As a result of interacting with people with different points of view, I oftentimes reflect on why I should promote the Filipino identity in my art. Am I being as honest as I could be about this topic? Am I just using this to set myself apart from the crowd? Am I using this as a form of self-aggrandizement? And if I am as honest as I could be about
it, I should also ask myself: is this a goal I am willing to fight for in the long-term? These are blunt questions that I need to deal with if I really want to get to the heart of the matter.

I believe that I am partly motivated by the need to offset the prevalent “colonial mentality” among Filipinos – the belief that anything foreign is inherently superior to anything that is local. Amid the glut of cultural importations in the Philippines, I often wonder if there is anything uniquely local that is worthy of esteem and continued support. The national folktales and mythologies are some of the elements that I consider to be worthy of upholding and promoting. However, too many local artists are too quick to look for inspiration elsewhere. I believe that this tendency is one of the culprits why a lot of creative work from the Philippines feels derivative. Maximo Ramos has made a statement about this phenomena, using Filipinos’ musical output as an example:

Dissatisfaction has often been expressed with the fact that although the Filipinos have been known as a music-loving people ever since Pigafetta praised their musical ability at the beginning of the sixteenth century, they have produced no substantial music of their own. Their music...are too closely reminiscent of Spanish music of the nineteenth century, and the songs that the children and youth sing or play are almost invariably those from Broadway and Hollywood (193).

On the other hand, even though I am critical of the prevalent “colonial mentality” among my fellow Filipinos, I am far from being immune to it. I myself am a big fan of foreign movies -- particularly Disney and Marvel films. I am also an avid enthusiast of
Japanese pop culture. My personal library is composed mainly of Western books. Deep inside, I take pride that I received my graduate education in the States instead of just gaining my master's degree from a local university. This only affirms that I need to continue pursuing my goal -- so that the next generation of young Filipinos could learn from past shortcomings.

At this point, I want to reiterate that my intention as an artist is to create bridges and treat the various sources of my influences as collaborative agents instead of competing forces. I want to study the past and cultural heritage of my country so that I can feel rooted in my own nation. At the same time, I also want to acknowledge the foreign influences that have already seeped deeply into my own identity. The challenge for me now is figuring-out how to bridge the gap between these two schools of thought. Most of my film projects up to this point have been in the service of pushing this theme forward – with each project achieving varying results. Hopefully, I would still have a couple of decades ahead of me to continue honing my craft and strengthening my artistic vision.
WORKS CITED


APPENDIX A: ORIGINAL PROPOSAL

Logline:

A young cloud deity whose purpose is to create rain struggles to find his place in a world that celebrates perfect sunny weather.

Treatment:

The story starts with a decorative page that looks straight out of a picture-book for children, complete with fanciful border designs. In the middle of the page, stylized graphical clouds pop into existence one by one according to a specific rhythm. More visual layers are introduced until a paper-tole-kind-of-a-world becomes recognizable. It is a whimsical world filled with tribal textures and patterns.

There is an island beneath the skies that are inhabited by people (designed according to the graphic visuals of everything else). Sumarang, a dark cloud deity, enters the scene nervously and starts playing a stringed instrument in front of the human populace. Sumarang looks like a child (who has a humanoid appearance and looks around 12 years old), but in terms of scale, he looks like a giant compared to the earth-dwellers. As Sumarang plays his music, it is apparent that he is off-key and clumsy. His music-playing directly influences the weather and the earth dwellers are soon dealing with rain and thunder. Despite how it looks, Sumarang has no malice in what he is doing. There is an air of innocence to him – he looks equally helpless about the inconvenience he creates. He looks eager to please the islanders, but he ends up
annoying everybody.

While Sumarang clumsily continues to play his music, another cloud deity named Binhi swoops-in riding a cloud like a hovercraft, cheerfully playing a stringed instrument (Just like Sumarang, she also has the same stringed instrument which she creates music with. In contrast, Sumarang is chubby, sluggish, and gloomy, while Binhi is limber, slim, and cheerful). As Binhi plays her stringed instrument, the clouds part and the sun fills the world with warm light. It seems that the light beams are magically helping the island’s horticulture to prosper. The earth-dwellers show their appreciation by throwing flowers to a wooden monument they made for Binhi. The cheerful deity is visibly pleased. Sumarang is also pleased (thinking that he is also being applauded) and starts to bow. Binhi sees this and starts to roll her eyes.

During the night while everybody is sleeping, Sumarang is loudly snoring while Binhi is awkwardly covering her ears with clouds. Sumarang then sneezes while sleeping, creating a small thunderbolt that ricochets across the place, finally hitting Binhi’s monument and damaging it. As far as Binhi is concerned, this is the last straw, as she decides to get rid of him. She uses her stringed instrument to push away the cloud that Sumarang is sleeping on, and doing it carefully so that it doesn't disturb his sleep. Seeing an island in the distance, Binhi decides to push Sumarang towards that direction and leaves him.

The next day, Sumarang wakes up and slowly realizes that he is in the middle of nowhere. He looks around and he realizes that he is within a desert island. It is a place that dry and barren. Unknown to Sumarang, there are people hiding around the area
that starts to observe the cloud deity with curiosity. Sumarang looks around nervously and starts sobbing, which creates a storm within the place.

The people that were observing him got affected by the violent weather and a small child among them gets carried away by the flood. Sumarang hears the child's cry for help and as the child starts to drown, Sumarang starts strumming his stringed instrument in a way that affects the weather to calm down (This is the first time he accomplished this, and he is surprised). After the weather calms down, he picks up the child and brings her to the islanders. Appearing lifeless, the villagers try to resuscitate the child. Sumarang looks very guilty of what happened, and he starts to move away from the islanders. In the midst of the silence, Sumarang hears a faint cough, and sees that the little child is still alive. The young child who survived approaches Sumarang slowly (some of the villagers try to stop her but she persists) and offers a flower to Sumarang as sign of friendship. Sumarang happily accepts.

Back on her side, Binhi continues to create sunny weather, but as time passes by (this could be shown by graphical icons of sun and moon switching back and forth in the background – in harmony with the paper tole inspired graphics), the crops are starting to dry up because of drought. The people are starting to complain, but Binhi does not have any other ability up her sleeve. She just continues to do what she does best: bring out the sunshine.

On Semarang's side, it seems that he has become popular and loved in his new home. As a rain bringer placed on a desert island, he found his purpose. His music is also starting to get polished -- his better use of the stringed instrument has enabled him
to make gentler rain instead of violent ones. He helps the villagers by filling-up their jars with rain water. Some of the children and happily playing on the puddles of water.

In the middle of his bliss, a man from the other island arrives on the desert island by riding a boat. He is asking for help, because their island is suffering from severe drought. Sumarang defiantly turns his back, still hurt about his past rejection. While hearing the man's plea, he witnesses something that makes him change his mind: the child whom he saved is now offering shelter to another child in the middle of the rain.

Meanwhile, Binhi's island is now barren and the inhabitants are angry. Binhi’s usual cheerfulness is gone, as she sits on top of her cloud like a scolded child. Binhi witnesses the islanders tear down her monument, which makes her weep. In this moment, Sumarang arrives playing his music, producing gentle rain. Binhi looks up to him with a miserable expression but Sumarang approaches her in a gentle manner. Binhi challenges Sumarang in a musical showdown but as the two played together, their musical battle ends in a twist: they gradually develop harmony with each other. After realizing this, Sumarang and Binhi started “jamming” together which produces a good mixture of different clouds in the sky. This new development of weather jays created a good balance between the two extremes. The islanders witnesses this and they start to dance to celebrate.

After a while, Binhi and Sumarang learn to work in tandem. In the end, they look five years older compared to how they looked initially. They have learned to switch back and forth between locations, hopping from island to island, so that everybody gets variation in weather. Binhi's monument has been restored, but now her image stands
Caliguia

alongside the image of Sumarang.

Rationale:

I am primarily pursuing this project so that I could further develop my skill in animation production design. I believe designing is where I am strongest at when it comes to the animation pipeline, and my aim is to use my MFA thesis project to put that skill on display in the best possible manner.

I also want to this project to incorporate elements from Filipino culture into my film, particularly the textiles, indigenous designs and folk music. I believe that nationality should play a part in directing one’s artistic vision, and I want to use this project to further that belief.

In terms of artistic influences, I want to pay tribute to some of the old American cartoons that I used to watch as a kid (particularly Silly Symphonies and Fleisher’s) that primarily dealt with fairy tales and anthropomorphic characters. In my own way, I hope to relive that by-gone animation era by pursuing a project like this.

Style:

Like I mentioned in the rationale, I want to pay tribute to the old American cartoons, while at the same time incorporating elements from Filipino culture. With these intentions in mind, it will be a balancing act for me so that I come up with something cohesive in the end.

Overall, I want the imagery to look like a picture-book that came to life.
Regarding the specifics, I am planning to incorporate a loose, energetic line for my designs, and combine textures on top of them. My primary influences for the character designs would be Ronald Searle and Mary Blair. For the backgrounds, I reference Yuri Norstein's work as an inspiration, particularly in how he achieves a stylized, textured feel to his work.

**Budget:**

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**Sound**
Musical composer and sound effects $300.00

Promotion

Blu-rays / DVDs $30.00
DVD Covers $30.00
Shipping $100.00
Festival Fees $300.00
1 year website hosting (carbonmade.com) $144.00

Other

Online back-up service $50.00

**GRAND TOTAL** $3,728.00

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**KUDYAPI TIMELINE**

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**FINAL MUSIC AND SOUND**

**COMPOSITE**

**EDIT**
Early Poster Design
Model Sheet of the Storm god

The Finalized Design of the Villagers
APPENDIX C: PRODUCTION STILLS